

PATENT
Att m y Docket: TN223

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Patent Application Of
Rowan Bosworth-Davies et al.

Serial No. 09/998,360

Filed: November 29, 2001

For: Countermeasures For Irregularities In Financial
Transaction

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: Group Art Unit
: 2161

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: Examiner:
:
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**CERTIFICATE OF TRANSMISSION VIA FACSIMILE UNDER 37 CFR 1.6 TO GROUP
ART UNIT TC2100, FILING OF AN INFORMATION DISCLOSURE STATEMENT, IN
THE UNITED STATES PATENT AND TRADEMARK OFFICE**

I hereby certify that this Information Disclosure Statement in the above-identified patent application, along with Form 1449, and any paper referred to as being attached or enclosed, is being transmitted to art unit TC2100 at, telefax number 703-746-7239 on October 22, 2002. No copies of art references are being forwarded, but will be respectfully submitted upon the request of the examiner.

Official

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OCT 22 2002

GROUP 3600

Therese McKinley
(Print Name)

Therese McKinley
(Signature)

*11 Sheets Inclusive, Return Receipt
Requested via telefax No. 215-986-3090*

12/3/02
P#
#6**PATENT**
Attorney Docket: TN223**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re: Patent Application Of	:	
Rowan Bosworth-Davies et al.	:	Group Art Unit
	:	2161
Serial No. 09/998,360	:	Examiner:
	:	
Filed: November 29, 2001	:	
	:	
For: Countermeasures For Irregularities In Financial	:	Confirmation No.
Transactions	:	
	:	

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

In accordance with §1.97(b), this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, or before the mailing date of a first Office Action on the merits of the above-identified application

All the references disclosed herein were cited in the international search report in a counterpart PCT application within the last three months.

No additional fee is due since the disclosure statement is being submitted prior to the first office action on the merits. If there are any additional fees due in connection with the filing of this response, including any fees required for an additional extension of time under 37 CFR

1.136, such an extension is requested and the Commissioner is authorized to charge or credit any overpayment to Deposit Account No. 19-3790.

A copy of the PCT International Search Report is enclosed herewith. The attached Form PTO-1449 is submitted herewith. No copies of the cited art references are included. Copies of the art references will be respectfully submitted upon the request of the Examiner.

Respectfully submitted,

ROWAN BOSWORTH DAVIES et al.

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